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PATENT & TRADEMARK OFFICE

I hereby certify this correspondence is being deposited with the United States Postal service as First Class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231  
on March 7, 2003,  
by Saundra S. Christopher Saundra S. Christopher

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Attwood, K. et al.

Serial No.: 09/503,608

Filed: February 11, 2000

: Group Art Unit:  
: 2755  
: IPILaw T81/B503  
: IBM Corporation  
: PO Box 12195  
: RTP, NC 27709

Title: Technique of Defending Against Network Flooding Attacks Using a Connectionless Protocol

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

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The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: Gerald R Woods  
Gerald R. Woods  
Reg. No. 24,144

GRW/ssc  
Docket: RSW-00-0010  
Phone: 919-543-7024 / Fax: 919-254-4330

List of Patents and Publications for  
Applicant's Information Disclosure Statement

APPLICANT: Attwood, K. et al.

(Use several sheets if necessary)

FILING DATE: February 11, 2000

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GROUP: 2755

## Reference Designation

## US PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
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## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class/Subclass	Translation Yes No
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AA CERT Advisory CA-96.01: "Topic UDP Port Denial-of-Service Attack"; vom 24. September 1997; CERT Coordination Center, Software Engineering Institute, Carnegie Mellon University Pittsburgh Patentanspruch 15213-3890, USA

AB Farrow, R. "TCP SYN Flooding Attacks and Remedies", September 4, 1999

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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